

Tools and Resources

# SORA: The good, the bad and the ugly

## **Basics of SORA: Specific Operations Risk Assessment**

There are a lot of methods to allow or disallow a drone flight. SORA was developed as a process to estimate the risk of an operation and have Input to a risk-based authorization process.

### **Specific Operations**

SORA delivers an output for a specific operation: A set of one or more flights with similar operational parameters (flight path, environment, ...)

### **Risk Assessment**

SORA output is a numerical value (SAIL, Safety Assurance and Integrity Level) that is an estimation of the risk an operation poses to people and aircraft

### How did SORA come to be?

- SORA is a recommendation by JARUS (Joint Authorities for Rulemaking on Unmanned Systems)
- JARUS has no binding authority voluntary adoption by National Aviation Authorities (esp. EU, Canada, Australia, Malaysia, Brazil, some others)
- JARUS functionally led by EU countries NAAs, US FAA and CAAC









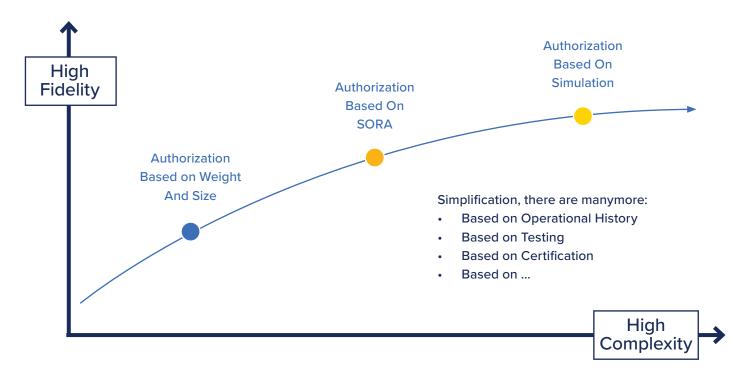




## The SORA process (simplified)



## How can you estimate risk in general?













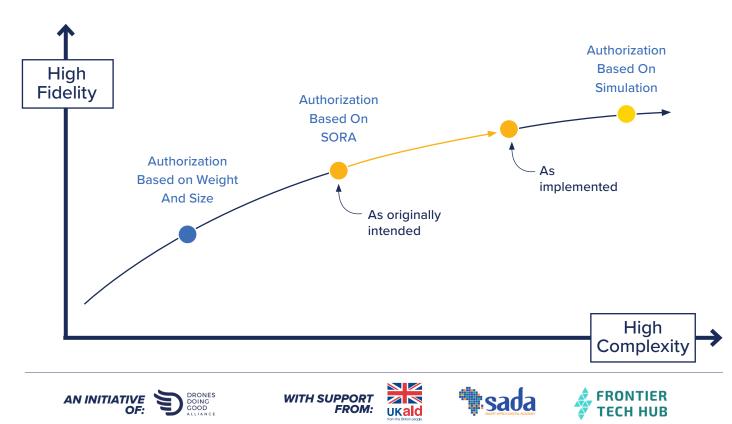




#### **SORA: The Good**

- On a high-level the steps of SORA are what you need to estimate operational risk no more, no less
- Flexibility allows very complex missions
- Small drones, large drones, VLOS, BVLOS, (a)typical airspaces, ...
- Enhances safety for less experienced operators as they are guided through a structured process
- Transparent both for the authority and the applicant, more of a guided discussion than a real application in some countries

## How can you estimate risk in general?



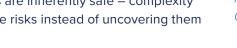


## SORA: The bad

- High amount of specialists needed to cope with applications on authority side
- No ramp-up for applicants, successful operational history not part of the process •
- Same base process but large differences in implementation (globally, within the EU & even within some countries)
- Flexibility leads to complexity, even for lower risk operations: time-consuming and resource-intensive process (applications even for simple operations can easily reach 50 pages)

## SORA: The ugly

- Risk scale in practice is not well used: SAIL I – VI but even after 4 years only 1 SAIL III operation in Germany
- P9: Tools intended to speed up process • (Pre-defined Risk Assesments, Standard Scenarios) not as functional & convenient as intended
- Some operations that have been flown without incidents not economically possible anymore
- Based on as of yet unverified assumptions and mathematical models
- Complexity hides uncertainty: Simple systems are inherently safe – complexity may hide risks instead of uncovering them







First authorized SAIL III operation in Germany by Koerschulte

- SORA is, in its core, a good process but has evolved into high complexity
- Long-term in Europe NAAs want >90% of complex operations to use Pre-Defined Risk • Assessments (first results unpromising)
- Operations with higher complexity may need a complex risk estimation to match, but process complexity should match operational complexity – and be applied iteratively instead of at once:







#### What do we want to achieve with SORA?



We want to keep risk at acceptable levels.



Within Wakanda Beyond, multiple ways of authorization have been discussed.



Safety by design, even for authorization processes.



Away from people & at altitudes where no other aircraft fly – authorization necessary?



Strategic deconfliction through drone corridors, vertical separation, mandatory transponderschemes.



SORA may still be used for new applicants or highly complex (risky) missions but it should not be the one tool to rule them all.

### Points for consideration

What are core strengths of SORA?
Are there are situations where SORA should be used?
Are there situations where an alternative approach is more efficient?
What is the balance between approximation and demonstration of safety?
<ul> <li>What are signs that an authorization process works well,</li> <li>from an authority point of view?</li> <li>from an operator point of view?</li> </ul>

from a societal point of view?









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